

UNITED STATES ENVIRONMENTAL PROTECTION AGENCY

REGION IX 75 Hawthorne Street San Francisco, CA 94105-3901

Subject:Region 9 Preliminary Remediation Goals (PRGs) 1998From:Stanford J. Smucker, Ph.D.
Regional Toxicologist (SFD-8-B)
Technical Support Team

To: PRG Table Mailing List

Please find the annual update to the Region 9 PRG table. Risk-based PRGs presented in the "lookup" table are useful tools for evaluating and cleaning up contaminated sites. They are being used to streamline and standardize all stages of the risk decision-making process. If you are not currently on the PRG table mailing list but would like to be, please call Lynn Trujillo (415.744.2419) or email her (Trujillo.Dianna@epamail.epa.gov) and leave your name, address, and phone number.

EPA Region 9 has established a homepage on the World Wide Web which you can find at **http://www.epa.gov/region 09**/. Once you reach our website, simply scroll down to and click on "Solid and Hazardous Waste Programs" followed by "Preliminary Remediation Goals". You may want to set a bookmark to ease future access.

The PRG '98 table can be browsed online. It includes information not available in the hard copy sent out to folks on the mailing list. Additional information includes pathway specific PRGs, non-cancer PRGs for carcinogenic substances, and physical-chemical information used to estimate volatilization factors (VF). The table can also be downloaded for use with Lotus or Excel software. Please note that the downloadable files contain the same information as can be browsed online, though you will need to unzip the files and display the hidden columns to see all of the information.

Region 9 risk-based PRGs are "evergreen" and have evolved as new methodologies and parameters have been developed. Changes that have occurred from the 1996 table reflect one or more of the following: (1) updates in toxicity information, (2) revisions in dermal exposure factors, or (3) harmonization of physical-chemical information with *Soil Screening Guidance* issued by EPA's Office of Solid Waste and Emergency Response (OSWER), dated April 1996. These changes are described in the preamble (see below).

Updates to EPA toxicity values were obtained from IRIS, HEAST, or the National Center for Environmental Assessment (NCEA) through March 1998. To help users rapidly identify substances with new or revised toxicity values, the PRGs for these contaminants are printed in boldface type in the table.

Before relying on any number in the table, it is recommended that the user verify the numbers with an agency toxicologist or risk assessor because the toxicity / exposure information in the table may contain errors or default assumptions that need to be refined based on further evaluation. If you find an error please send me a note via email at smucker.stan@epamail.epa.gov or fax at 415.744.1916.

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DISCLAIMER

Preliminary remediation goals (PRGs) focus on common exposure pathways and may not consider all exposure pathways encountered at CERCLA / RCRA sites (Exhibit 1-1). PRGs do not consider impact to groundwater or address ecological concerns. PRGs are specifically not intended as a (1) stand-alone decision-making tool, (2) as a substitute for EPA guidance for preparing baseline risk assessments, or (3) a rule to determine if a waste is hazardous under RCRA.

The guidance set out in this document is not final Agency action. It is not intended, nor can it be relied upon to create any rights enforceable by any party in litigation with the United States. EPA officials may decide to follow the guidance provided herein, or act at variance with the guidance, based on an analysis of specific circumstances. The Agency also reserves the right to change this guidance at any time without public notice.

1.0 INTRODUCTION

Region 9 Preliminary Remediation Goals (PRGs) are risk-based tools for evaluating and cleaning up contaminated sites. They are being used to streamline and standardize all stages of the risk decision-making process.

The Region 9 PRG table combines current EPA toxicity values with "standard" exposure factors to estimate contaminant concentrations in environmental media (soil, air, and water) that are considered protective of humans, including sensitive groups, over a lifetime. Chemical concentrations above these levels would not automatically designate a site as "dirty" or trigger a response action. However, exceeding a PRG suggests that further evaluation of the potential risks that may be posed by site contaminants is appropriate. Further evaluation may include additional sampling, consideration of ambient levels in the environment, or a reassessment of the assumptions contained in these screening-level estimates (e.g. appropriateness of route-to-route extrapolations, appropriateness of using chronic toxicity values to evaluate childhood exposures, appropriateness of generic exposure factors for a specific site etc.).

The PRG concentrations presented in the table can be used to screen pollutants in environmental media, trigger further investigation, and provide an initial cleanup goal if applicable. When considering PRGs as preliminary goals, residential concentrations should be used for maximum beneficial uses of a property. Industrial concentrations are included in the table as an alternative cleanup goal for soils. In general, it is not recommended that industrial PRGs be used for screening sites unless they are used in conjunction with residential values.

Before applying PRGs as screening tools or initial goals, the user of the table should consider whether the exposure pathways and exposure scenarios at the site are fully accounted for in the PRG calculation. Region 9 PRG concentrations are based on exposure pathways for which generally accepted methods, models, and assumptions have been developed (i.e. ingestion, dermal contact, and inhalation) for specific land-use conditions and do not consider impact to groundwater or ecological receptors (see Developing a Conceptual Site Model below).

EXHIBIT 1-1 TYPICAL EXPOSURE PATHWAYS BY MEDIUM FOR RESIDENTIAL AND INDUSTRIAL LAND USES*

EXPOSURE PATHWAYS, ASSUMING:				
MEDIUM	RESIDENTIAL LAND USE	INDUSTRIAL LAND USE		
Ground Water	Ingestion from drinking	Ingestion from drinking		
· ·	Inhalation of volatiles	Inhalation of volatiles		
	Dermal absorption from bathing	Dermal absorption		
Surface Water	Ingestion from drinking	Ingestion from drinking		
	Inhalation of volatiles	Inhalation of volatiles		
	Dermal absorption from bathing	Dermal absorption		
	Ingestion during swimming			
	Ingestion of contaminated fish			
Soil	Ingestion	Ingestion		
	Inhalation of particulates	Inhalation of particulates		
	Inhalation of volatiles	Inhalation of volatiles		
	Exposure to indoor air from soil gas	Exposure to indoor air from soil gas		
	Exposure to ground water contaminated by soil leachate	Exposure to ground water contaminated by soil leachate		
	Ingestion via plant, meat, or dairy products	Inhalation of particulates from trucks and heavy equipment		
	Dermal absorption	Dermal absorption		

Footnote:

^aExposure pathways considered in the PRG calculations are indicated in **boldface** italics.

2.0 READING THE PRG TABLE

2.1 General Considerations

With the exceptions described below, PRGs are chemical concentrations that correspond to fixed levels of risk (i.e. either a one-in-one million $[10^{-6}]$ cancer risk or a noncarcinogenic hazard quotient of 1) in soil, air, and water. In most cases, where a substance causes both cancer and noncancer (systemic) effects, the 10^{-6} cancer risk will result in a more stringent criteria and consequently this value is presented in the hard copy of the table. PRG concentrations that equate to a 10^{-6} cancer risk are indicated by "ca". PRG concentrations that equate to a hazard quotient of 1 for noncarcinogenic concerns are indicated by "nc".

If the risk-based concentrations are to be used for site screening, it is recommended that both cancer and noncancer-based PRGs be obtained. All PRG values can be obtained in the electronic version of the table (e.g. noncancer PRGs for a carcinogenic substance), by displaying the hidden sections of the spreadsheet. To view or download an electronic copy of the table, simply access EPA Region 9's homepage at:

http://www.epa.gov/region09/waste/sfund/prg/index.html

It has come to my attention that some users have been multiplying the cancer PRG concentrations by 10 or 100 to set "action levels" for triggering remediation or to set less stringent cleanup levels for a specific site after considering non-risk-based factors such as ambient levels, detection limits, or technological feasibility. This risk management practice recognizes that there may be a range of values that may be "acceptable" for carcinogenic risk (EPA's cancer risk range is from 10⁻⁶ to 10⁻⁴). However, this practice could lead one to overlook serious noncancer health threats and it is strongly recommended that the user consult with a toxicologist or regional risk assessor before doing this. For carcinogens, I have indicated by asterisk ("ca*") in the PRG table where the noncancer PRGs would be exceeded if the cancer value that is displayed is multiplied by 100. Two stars ("ca**") indicate that the noncancer values would be exceeded if the cancer PRG were multiplied by 10. There is no range of "acceptable" noncarcinogenic "risk" so that under no circumstances should noncancer PRGs be multiplied by 10 or 100, when setting final cleanup criteria.

In general, PRG concentrations in the table are risk-based but for soil there are two important exceptions: (1) for several volatile chemicals, PRGs are based on the soil saturation equation ("sat") and (2) for relatively less toxic inorganic and semivolatile contaminants, a non-risk based "ceiling limit" concentration is given as 10⁺⁵ mg/kg ("max").

Also included in the PRG table are California EPA PRGs ("CAL-Modified PRGs") for specific chemicals where CAL-EPA values may be more restrictive than the federal values; and, soil screening levels (SSLs) for protection of groundwater (see Section 2.3 below).

2.2 Toxicity Values

EPA toxicity values, known as noncarcinogenic reference doses (RfD) and carcinogenic slope

factors (SF) were obtained from IRIS, HEAST, and NCEA (formerly ECAO) through March 1998. The priority among sources of toxicological constants used are as follows: (1) IRIS (indicated by "i"), (2) HEAST ("h"), (3) NCEA ("n"), (4) withdrawn from IRIS or HEAST and under review ("x") or obtained from other EPA documents ("o").

To help users rapidly identify substances with new toxicity values, these chemicals are printed in boldface type. This issue of the PRG table contains new or revised toxicity values for acifluorfen, aniline, barium, benzene, 1,1-biphenyl, bromobenzene, butylbenzenes, chlordane, 1,3 dichlorobenzene, dimethylphenethylamine, diphenylsulfone, ethyl chloride, iron, isobutane, mercaptobenzothiazole, methyl mercaptan, methyl phosphonic acid, MTBE, napthylamine, 4-nitrophenol, perchlorate, phenothiazine, PCB, PCE, polychlorinated terphenyls, thiocyanate, and trimethylbenzenes.

Route-to-route extrapolations ("r") were frequently used when there were no toxicity values available for a given route of exposure. Oral cancer slope factors ("SFo") and reference doses ("RfDo") were used for both oral and inhaled exposures for organic compounds lacking inhalation values. Inhalation slope factors ("SFi") and inhalation reference doses ("RfDi") were used for both inhaled and oral exposures for organic compounds lacking oral values. Route extrapolations were not performed for inorganics due to portal of entry effects and known differences in absorption efficiency for the two routes of exposure.

An additional route extrapolation is the use of oral toxicity values for evaluating dermal exposures. For many chemicals, a scientifically defensible data base does not exist for making an adjustment of an oral slope factor/RfD to estimate a dermal toxicity value (see Section 4.3).

Although route-to-route methods are a useful screening procedure, the appropriateness of these default assumptions for specific contaminants should be verified by a toxicologist or regional risk assessor.

2.3 Soil Screening Levels

Generic, soil screening levels (SSLs) for the protection of groundwater have been included in the PRG table for 100 of the most common contaminants at Superfund sites. Generic SSLs are derived using default values in standardized equations presented in *Soil Screening Guidance* (available from NTIS as document numbers PB96-963502 and PB96-963505 or EPA/540/R-95/128 and EPA/540/R-96/018).

The SSLs were developed using a default dilution-attenuation factor (DAF) of 20 to account for natural processes that reduce contaminant concentrations in the subsurface. Also included are generic SSLs that assume no dilution or attenuation between the source and the receptor well (i.e., a DAF of 1). These values can be used at sites where little or no dilution or attenuation of soil leachate concentrations is expected at a site (e.g., sites with shallow water tables, fractured media, karst topography, or source size greater than 30 acres).

In general, if an SSL is not exceeded for the migration to groundwater pathway, the user may eliminate this pathway from further investigation.

2.4 Miscellaneous

Volatile organic chemicals (VOCs) are indicated by "1" in the VOC column of the table and are defined as those chemicals having a Henry's Law constant greater than 10⁻⁵ (atm-m³/mol) and a molecular weight less than 200 g/mole). These contaminants are evaluated for potential volatilization from soil/water to air using volatilization factors (see Section 4.1).

Chemical-specific dermal absorption values for contaminants in soil and dust are presented for arsenic, cadmium, chlordane, 2,4-D, DDT, lindane, TCDD, PAHs, PCBs, and pentachlorophenols as recommended in the Dermal Supplemental Guidance to RAGS (USEPA 1998b). Otherwise, default skin absorption fractions are assumed to be 0.01 and 0.10 for inorganics and organics, respectively.

3.0 USING THE PRG TABLE

The decision to use PRGs at a site will be driven by the potential benefits of having generic riskbased concentrations in the absence of site-specific risk assessments. The original intended use of PRGs was to provide initial cleanup goals for individual chemicals given specific medium and land-use combinations (see RAGS Part B, 1991), however risk-based concentrations have several applications. They can also be used for:

- Setting health-based detection limits for chemicals of potential concern
- Screening sites to determine whether further evaluation is appropriate
- Calculating cumulative risks associated with multiple contaminants

A few basic procedures are recommended for using PRGs properly. These are briefly described below. Potential problems with the use of PRGs are also identified.

3.1 Developing a Conceptual Site Model

The primary condition for use of PRGs is that exposure pathways of concern and conditions at the site match those taken into account by the PRG framework. Thus, it is always necessary to develop a conceptual site model (CSM) to identify likely contaminant source areas, exposure pathways, and potential receptors. This information can be used to determine the applicability of PRGs at the site and the need for additional information. For those pathways not covered by PRGs, a risk assessment specific to these additional pathways may be necessary. Nonetheless, the PRG lookup values will still be useful in such situations for focusing further investigative efforts on the exposure pathways not addressed.

To develop a site-specific CSM, perform an extensive records search and compile existing data (e.g. available site sampling data, historical records, aerial photographs, and hydrogeologic information). Once this information is obtained, CSM worksheets such as those provided in ASTM's *Standard Guide for Risk-Based Corrective Action Applied at Petroleum Release Sites* (1995) can be used to tailor the generic worksheet model to a site-specific CSM. The final CSM

diagram represents linkages among contaminant sources, release mechanisms, exposure pathways and routes and receptors. It summarizes our understanding of the contamination problem.

As a final check, the CSM should answer the following questions:

- Are there potential ecological concerns?
- Is there potential for land use other than those covered by the PRGs (that is, residential and industrial)?
- Are there other likely human exposure pathways that were not considered in development of the PRGs (e.g. impact to groundwater, local fish consumption, raising beef, dairy, or other livestock)?
- Are there unusual site conditions (e.g. large areas of contamination, high fugitive dust levels, potential for indoor air contamination)?

If any of these four conditions exist, the PRG may need to be adjusted to reflect this new information. Suggested references for evaluating pathways not currently evaluated by Region 9 PRG's are presented in Exhibit 3-1.

EXHIBIT 3-1

SUGGESTED READINGS FOR EVALUATING EXPOSURE PATHWAYS NOT CURRENTLY ADDRESSED BY REGION 9 PRGs

EXPOSURE PATHWAY	REFERENCE
Migration of contaminants to an underlying potable aquifer	Soil Screening Guidance (USEPA 1996a,b), Standard Guide for Risk-Based Corrective Action Applied at Petroleum Release Sites (ASTM 1995)
Ingestion via plant uptake	Soil Screening Guidance (USEPA 1996a,b)
Ingestion via meat, dairy products, human milk	Estimating Exposure to Dioxin-Like Compounds (USEPA 1994a)
Inhalation of volatiles that have migrated into basements	User's Guide for Johnson and Ettinger (1991) Model for Subsurface Vapor Intrusion into Buildings (USEPA 1997a)
Ecological pathways	Ecological Risk Assessment: Guidance for Superfund: Process for Designing and Conducting Ecological Risk Assessments, (USEPA 1997b), Guidance for Ecological Risk Assessment at Hazardous Waste Sites and Permitted Facilities (CAL-EPA 1996)

3.2 Background Levels Evaluation

A necessary step in determining the usefulness of Region 9 PRGs is the consideration of background contaminant concentrations. EPA may be concerned with two types of background at sites: naturally occurring and anthropogenic. Natural background is usually limited to metals whereas anthropogenic (i.e. human-made) "background" includes both organic and inorganic contaminants. Before embarking on an extensive sampling and analysis program to determine local background concentrations in the area, one should first compile existing data on the subject. Far too often there is pertinent information in the literature that gets ignored, resulting in needless expenditures of time and money.

Generally EPA does not clean up below natural background. In some cases, the predictive riskbased models generate PRG levels that lie within or even below typical background. If natural background concentrations are higher than the risk-based PRGs, an adjustment of the PRG is probably needed. Exhibit 3-2 presents summary statistics for selected elements in soils that have background levels that may exceed risk-based PRGs. An illustrative example of this is naturally occurring arsenic in soils which frequently is higher than the risk-based PRG set at a one-in-onemillion cancer risk (PRG for residential soils is 0.38 mg/kg). After considering background concentrations in a local area, EPA Region 9 has at times used the non-cancer PRG (22 mg/kg) to evaluate sites recognizing that this value tends to be above background levels yet still falls within the range of soil concentrations (0.38-38 mg/kg) that equate to EPA's "permissible" cancer risk range (10E-6 to 10E-4).

Where anthropogenic "background" levels exceed PRGs and EPA has determined that a response action is necessary and feasible, EPA's goal will be to develop a comprehensive response to the widespread contamination. This will often require coordination with different authorities that have jurisdiction over the sources of contamination in the area.

TRACE	U.S. STUDY DATA ¹			CALIFORNIA DATA ²		
ELEMENT	Range	GeoMean	ArMean	Range	GeoMean	ArMean
Arsenic	<.1-97	5.2 mg/kg	7.2 mg/kg	0.59-11	2.75 mg/kg	3.54 mg/kg
Beryllium	<1-15	0.63"	0.92"	0.10-2.7	1.14 "	1.28 "
Cadmium	<1-10		<1	0.05-1.7	0.26	0.36
Chromium	1-2000	37	54	23-1579	76.25	122.08
Nickel	<5-700	13	19	9.0-509	35.75	56.60

EXHIBIT 3-2 BACKGROUND CONCENTRATIONS OF SELECTED ELEMENTS IN SOILS

¹Shacklette and Hansford, "Element Concentrations in Soils and Other Surficial Materials of the Conterminous United States", USGS Professional Paper 1270, 1984.

²Bradford et. al, "Background Concentrations of Trace and Major Elements in California Soils", Kearney Foundation Special Report, UC-Riverside and CAL-EPA DTSC, March 1996.

3.3 Screening Sites with Multiple Pollutants

A suggested stepwise approach for PRG-screening of sites with multiple pollutants is as follows:

- Perform an extensive records search and compile existing data.
- Identify site contaminants in the PRG Table. Record the PRG concentrations for various media and note whether PRG is based on cancer risk (indicated by "ca") or noncancer hazard (indicated by "nc"). Segregate cancer PRGs from non-cancer PRGs and exclude (but don't eliminate) non-risk based PRGs ("sat" or "max").
- For cancer risk estimates, take the site-specific concentration (maximum or 95 UCL) and divide by the PRG concentrations that are designated for cancer evaluation ("ca"). Multiply this ratio by 10⁻⁶ to estimate chemical-specific risk for a reasonable maximum exposure (RME). For multiple pollutants, simply add the risk for each chemical:

$$Risk = \left[\left(\frac{CONC_x}{PRG_y}\right) + \left(\frac{CONC_y}{PRG_y}\right) + \left(\frac{CONC_z}{PRG_z}\right)\right] \times 10^{-6}$$

• For non-cancer hazard estimates. Divide the concentration term by its respective non-cancer PRG designated as "nc" and sum the ratios for multiple contaminants. The cumulative ratio represents a non-carcinogenic hazard index (HI). A hazard index of 1 or less is generally considered "safe". A ratio greater than 1 suggests further evaluation. [Note that carcinogens may also have an associated noncancer PRG that is not listed in the printed copy of the table sent to folks on the mailing list. To obtain these values, the user should download or view the PRG table at our website and display the appropriate sections.]

Hazard Index =
$$\left[\left(\frac{conc_x}{PRG_x}\right) + \left(\frac{conc_y}{PRG_y}\right) + \left(\frac{conc_z}{PRG_z}\right)\right]$$

For more information on screening site risks, the reader should contact EPA Region 9's Technical Support Team.

3.4 Potential Problems

As with any risk-based tool, the potential exists for misapplication. In most cases the root cause will be a lack of understanding of the intended use of Region 9 PRGs. In order to prevent misuse of PRGs, the following should be avoided:

- Applying PRGs to a site without adequately developing a conceptual site model that identifies relevant exposure pathways and exposure scenarios,
- Not considering background concentrations when choosing PRGs as cleanup goals,
- Use of PRGs as cleanup levels without the nine-criteria analysis specified in the National Contingency Plan (or, comparable analysis for programs outside of Superfund),
- Use of PRGs as cleanup levels without verifying numbers with a toxicologist or regional risk assessor,
- Use of antiquated PRG tables that have been superseded by more recent publications,
- Not considering the effects of additivity when screening multiple chemicals, and
- Adjusting PRGs upward by factors of 10 or 100 without consulting a toxicologist or regional risk assessor.

4.0 TECHNICAL SUPPORT DOCUMENTATION

Region 9 PRGs consider human exposure hazards to chemicals from contact with contaminated soils, air, and water. The emphasis of the PRG equations and technical discussion are aimed at developing initial goals for soils, since this is an area where few standards exist. For air and water, additional reference concentrations or standards are available for many chemicals (e.g. non-zero MCLGs, AWQC, and NAAQS) and consequently the discussion of these media are brief.

4.1 Soil - Direct Ingestion

Calculation of PRGs for direct ingestion of soil is based on the methodology presented in RAGS HHEM, Part B (USEPA 1991a). Briefly, this methodology backcalculates a soil concentration level from a target risk (for carcinogens) or hazard quotient (for noncarcinogens). A number of studies have shown that inadvertent ingestion of soil is common among children for 6 years old and younger (Calabrese et al. 1989, Davis et al. 1990, Van Wijnen et al. 1990). Therefore, the approach uses an age-adjusted soil ingestion factor that takes into account the difference in daily soil ingestion rates, body weights, and exposure duration for children from 1 to 6 years old and others from 7 to 31 years old. The higher intake rate of soil by children and their lower body weights lead to a lower, or more conservative, risk-based concentration compared to an adult-only assumption.

For noncarcinogens, the definition of an RfD has led to debates concerning the comparison of

less-than-lifetime estimates of exposure to the RfD. Specifically, it is often asked whether the comparison of a 6-year exposure, estimated for children via soil ingestion, to the chronic RfD is unnecessarily conservative. In their analysis of the issue, the Science Advisory Board (SAB) indicates that, for most chemicals, the approach of combining the higher 6-year exposure for children with chronic toxicity criteria may be overly protective. However, they noted that there are specific instances when the chronic RfD may be based on endpoints of toxicity that are specific to children (e.g. fluoride and nitrates) or when the dose-response is steep (i.e., the dosage difference between the no-observed-adverse-effects level (NOAEL) and an adverse effects level is small). Thus, for the purposes of screening, EPA Region 9 has opted to base the generic PRGs for noncarcinogenic contaminants on the more conservative "childhood only" exposure.

4.2 Soil - Vapor and Particulate Inhalation

Agency toxicity criteria indicate that risks from exposure to some chemicals via inhalation far outweigh the risk via ingestion; therefore soil PRGs have been designed to address this pathway as well. The models used to calculate PRGs for inhalation of volatiles/particulates are updates of risk assessment methods presented in RAGS Part B (USEPA 1991a) and are identical to the *Soil Screening Guidance: User's Guide and Technical Background Document* (USEPA 1996a,b).

To address the soil-to-air pathways the PRG calculations incorporate volatilization factors (VF_s) for volatile contaminants and particulate emission factors (PEF) for nonvolatile contaminants. These factors relate soil contaminant concentrations to air contaminant concentrations that may be inhaled on-site. The VF_s and PEF equations can be broken into two separate models: an emission model to estimate emissions of the contaminant from the soil and a dispersion model to simulate the dispersion of the contaminant in the atmosphere.

It should be noted that the box model in RAGS Part B has been replaced with a dispersion term (Q/C) derived from a modeling exercise using meteorological data from 29 locations across the United States because the box model may not be applicable to a broad range of site types and meteorology and does not utilize state-of-the-art techniques developed for regulatory dispersion modeling. The dispersion model for both volatiles and particulates is the AREA-ST, an updated version of the Office of Air Quality Planning and Standards, Industrial Source Complex Model, ISC2. However, different Q/C terms are used in the VF and PEF equations. Los Angeles was selected as the 90th percentile data set for volatiles and Minneapolis was selected as the 90th percentile data set for selected as the 90th percentile data set for selected as the 90th percentile data set for using the default source size of 0.5 acres was chosen for the PRG calculations. This is consistent with the default exposure area over which Region 9 typically averages contaminant concentrations in soils. If unusual site conditions exist such that the area source is substantially larger than the default source size assumed here, an alternative Q/C could be applied (see USEPA 1996a,b).

Volatilization Factor for Soils

Volatile chemicals, defined as those chemicals having a Henry's Law constant greater than 10^{-5} (atm-m³/mol) and a molecular weight less than 200 g/mole, were screened for inhalation exposures using a volatilization factor for soils (VF_s). Please note that VF_s's are available at our website.

The emission terms used in the VF_s are chemical-specific and were calculated from physicalchemical information obtained from several sources. The priority of these sources were as follows: Soil Screening Guidance (USEPA 1996a,b), Superfund Chemical Data Matrix (USEPA 1996c), Fate and Exposure Data (Howard 1991), Subsurface Contamination Reference Guide (EPA 1990a), and Superfund Exposure Assessment Manual (SEAM, EPA 1988). In those cases where Diffusivity Coefficients (Di) were not provided in existing literature, Di's were calculated using Fuller's Method described in SEAM. A surrogate term was required for some chemicals that lacked physico-chemical information. In these cases, a proxy chemical of similar structure was used that may over- or under-estimate the PRG for soils.

Equation 4-9 forms the basis for deriving generic soil PRGs for the inhalation pathway. The following parameters in the standardized equation can be replaced with specific site data to develop a simple site-specific PRG

- Source area
- Average soil moisture content
- Average fraction organic carbon content
- Dry soil bulk density

The basic principle of the VF_s model (Henry's law) is applicable only if the soil contaminant concentration is at or below soil saturation "sat". Above the soil saturation limit, the model cannot predict an accurate VF-based PRG. How these particular cases are handled, depends on whether the contaminant is liquid or solid at ambient soil temperatures (see Section 4.5).

Particulate Emission Factor for Soils

Inhalation of chemicals adsorbed to respirable particles (PM_{10}) were assessed using a default PEF equal to 1. 316 x 10⁹ m³/kg that relates the contaminant concentration in soil with the concentration of respirable particles in the air due to fugitive dust emissions from contaminated soils. The generic PEF was derived using default values in Equation 4-11, which corresponds to a receptor point concentration of approximately 0.76 ug/m³. The relationship is derived by Cowherd (1985) for a rapid assessment procedure applicable to a typical hazardous waste site where the surface contamination provides a relatively continuous and constant potential for emission over an extended period of time (e.g. years). This represents an annual average emission rate based on wind erosion that should be compared with chronic health criteria; it is not appropriate for evaluating the potential for more acute exposures.

The impact of the PEF on the resultant PRG concentration (that combines soil exposure pathways for ingestion, skin contact, and inhalation) can be assessed by downloading the PRG tables and displaying the hidden columns. Equation 4-11 forms the basis for deriving a generic PEF for the inhalation pathway. For more details regarding specific parameters used in the PEF model, the reader is referred to *Soil Screening Guidance: Technical Background Document* (USEPA 1996a).

Note: the generic PEF evaluates windborne emissions and does not consider dust emissions from traffic or other forms of mechanical disturbance that could lead to greater emissions than assumed here.

4.3 Soil - Dermal Absorption

Much uncertainty surrounds the determination of hazards associated with skin contact with soils. One important data gap is the lack of EPA verified toxicity values for the dermal route. For screening purposes it is assumed that dermal toxicity values can be route-to-route extrapolated from oral values but this may not always be an appropriate assumption and should be checked.

Per RAGS (1989) Appendix A, an adjustment of an oral slope factor/RfD to estimate a dermal toxicity value could be performed if the following conditions are met:

- 1) The critical study upon which the toxicity value is based employed an administered dose (e.g., delivery in diet or by gavage) in its study design
- 2) A scientifically defensible data base exists and demonstrates that the gastrointestinal absorption of the chemical in question, from a media (e.g., water, feed) similar to the one employed in the critical study, is significantly less than 100%.

Most often, it is the second condition that precludes the adjustment of oral slope factors/RfDs to estimate dermal toxicity values for a given compound. When the aforementioned criteria is not met, it is recommended that a default value of complete (i.e., 100%) oral absorption be assumed, thereby eliminating the need for oral toxicity value adjustment. It should be noted that employing the oral absorption default value may result in an underestimation of risk; the magnitude of the underestimation being inversely proportional to the true oral absorption of the chemical in question.

Chemical-specific dermal absorption values for contaminants in soil and dust are presented for arsenic, cadmium, chlordane, 2,4-D, DDT, lindane, TCDD, PAHs, PCBs, and pentachlorophenols as recommended in the Supplemental Dermal Guidance to RAGS (USEPA 1998). Otherwise, default skin absorption fractions are assumed to be 0.01 and 0.10 for inorganics and organics, respectively.

Since the 1996 PRG table was issued, default values for dermal contact with soil have changed for two parameters, surface area and soil adherence (see Exhibit 4-1). Exposed surface areas change from 5000 and 2000 to 5700 and 2900 for adults and children, respectively. Recommended adherence factors change from 0.2 mg/cm² for both adults and children to age-specific adherence factors of 0.08 and 0.3 mg/cm² for adults and children, respectively. The justification for these changes is explained in detail in *Risk Assessment Guidance for Superfund, Volume I: Human Health Evaluation Manual, Supplemental Guidance, Dermal Risk Assessment* (USEPA 1998b) and will not be repeated here.

4.4 Soils - Migration to Groundwater

The methodology for calculating SSLs for the migration to groundwater was developed to identify chemical concentrations in soil that have the potential to contaminate groundwater. Migration of contaminants from soil to groundwater can be envisioned as a two-stage process: (1) release of contaminant in soil leachate and (2) transport of the contaminant through the underlying soil and aquifer to a receptor well. The SSL methodology considers both of these fate and transport mechanisms.

SSLs are backcalculated from acceptable ground water concentrations (i.e. nonzero MCLGs, MCLs, or risk-based PRGs). First, the acceptable groundwater concentration is multiplied by a dilution factor to obtain a target leachate concentration. For example, if the dilution factor is 10 and the acceptable ground water concentration is 0.05 mg/L, the target soil leachate concentration would be 0.5 mg/L. The partition equation (presented in the *Soil Screening Guidance* document) is then used to calculate the total soil concentration (i.e. SSL) corresponding to this soil leachate concentration.

The SSL methodology was designed for use during the early stages of a site evaluation when information about subsurface conditions may be limited. Because of this constraint, the methodology is based on conservative, simplifying assumptions about the release and transport of contaminants in the subsurface. For more on SSLs, and how to calculate site-specific SSLs versus generic SSLs presented in the PRG table, the reader is referred to the *Soil Screening Guidance* document (USEPA 1996a,b).

4.5 Soil Saturation Limit

The soil saturation concentration "sat" corresponds to the contaminant concentration in soil at which the absorptive limits of the soil particles, the solubility limits of the soil pore water, and saturation of soil pore air have been reached. Above this concentration, the soil contaminant may be present in free phase, i.e., nonaqueous phase liquids (NAPLs) for contaminants that are liquid at ambient soil temperatures and pure solid phases for compounds that are solid at ambient soil temperatures.

Equation 4-10 is used to calculate "sat" for each volatile contaminant. As an update to RAGS HHEM, Part B (USEPA 1991a), this equation takes into account the amount of contaminant that is in the vapor phase in soil in addition to the amount dissolved in the soil's pore water and sorbed to soil particles.

Chemical-specific "sat" concentrations must be compared with each VF-based PRG because a basic principle of the PRG volatilization model is not applicable when free-phase contaminants are present. How these cases are handled depends on whether the contaminant is liquid or solid at ambient temperatures. Liquid contaminant that have a VF-based PRG that exceeds the "sat" concentration are set equal to "sat" whereas for solids (e.g., PAHs), soil screening decisions are based on the appropriate PRGs for other pathways of concern at the site (e.g., ingestion and dermal contact).

4.6 Ground Water/Surface Water - Ingestion and Inhalation

Calculation of PRGs for ingestion and inhalation of contaminants in domestic water is based on the methodology presented in RAGS HHEM, Part B (USEPA 1991a). Ingestion of drinking water is an appropriate pathway for all chemicals. For the purposes of this guidance, however, inhalation of volatile chemicals from water is considered routinely only for chemicals with a Henry's Law constant of 1×10^{-5} atm-m³/mole or greater and with a molecular weight of less than 200 g/mole.

For volatile chemicals, an upperbound volatilization constant (VF_w) is used that is based on all uses of household water (e.g showering, laundering, and dish washing). Certain assumptions were made. For example, it is assumed that the volume of water used in a residence for a family

of four is 720 L/day, the volume of the dwelling is 150,000 L and the air exchange rate is 0.25 air changes/hour (Andelman in RAGS Part B). Furthermore, it is assumed that the average transfer efficiency weighted by water use is 50 percent (i.e. half of the concentration of each chemical in water will be transferred into air by all water uses). Note: the range of transfer efficiencies extends from 30% for toilets to 90% for dishwashers.

4.7 Default Exposure Factors

Default exposure factors were obtained primarily from RAGS Supplemental Guidance Standard Default *Exposure Factors* (OSWER Directive, 9285.6-03) dated March 25, 1991 and more recent information from U.S. EPA's Office of Solid Waste and Emergency Response, U.S. EPA's Office of Research and Development, and California EPA's Department of Toxic Substances Control (see Exhibit 4-1).

Because contact rates may be different for children and adults, carcinogenic risks during the first 30 years of life were calculated using age-adjusted factors ("adj"). Use of age-adjusted factors are especially important for soil ingestion exposures, which are higher during childhood and decrease with age. However, for purposes of combining exposures across pathways, additional age-adjusted factors are used for inhalation and dermal exposures. These factors approximate the integrated exposure from birth until age 30 combining contact rates, body weights, and exposure durations for two age groups - small children and adults. Age-adjusted factors were obtained from RAGS PART B or developed by analogy (see derivations next page).

For soils only, noncarcinogenic contaminants are evaluated in children separately from adults. No age-adjustment factor is used in this case. The focus on children is considered protective of the higher daily intake rates of soil by children and their lower body weight. For maintaining consistency when evaluating soils, dermal and inhalation exposures are also based on childhood contact rates.

(1) ingestion([mg-yr]/[kg-d]:

$$IFS_{adj} = \frac{ED_c \times IRS_c}{BW_c} + \frac{(ED_r - ED_c) \times IRS_a}{BW_a}$$

(2) skin contact([mg-yr]/[kg-d]:

$$SFS_{adj} = \frac{ED_c \times AF \times SA_c}{BW_c} + \frac{(ED_r - ED_c) \times AF \times SA_a}{BW_a}$$

(3) inhalation $([m^3-yr]/[kg-d])$:

 $InhF_{adj} = \frac{ED_c \times IRA_c}{BW_c} + \frac{(ED_r - ED_c) \times IRA_a}{BW_a}$

EXHIBIT 4-1 STANDARD DEFAULT FACTORS

Symbol	Definition (units)	<u>Default</u>	Reference
CSFo	Cancer slope factor oral (mg/kg-d)-1		IRIS, HEAST, or NCEA
CSFi	Cancer slope factor inhaled (mg/kg-d)-1		IRIS, HEAST, or NCEA
RfDo	Reference dose oral (mg/kg-d)	-	IRIS, HEAST, or NCEA
RfDi	Reference dose inhaled (mg/kg-d)		IRIS, HEAST, or NCEA
TR	Target cancer risk	10 ⁻⁶	-
THQ	Target hazard quotient	1	
BWa	Body weight, adult (kg)	70	RAGS (Part A), EPA 1989 (EPA/540/1-89/002)
BWc	Body weight, child (kg)	15	Exposure Factors, EPA 1991 (OSWER No. 9285.6-03)
ATc	Averaging time - carcinogens (days)	25550	RAGS(Part A), EPA 1989 (EPA/540/1-89/002)
ATn	Averaging time - noncarcinogens (days)	ED*365	
SAa	Exposed surface area, adult (cm²/day)	5700	Dermal Assessment, EPA 1998 (NCEA-W-0364)
SAc	Exposed surface area, child (cm²/day)	2900	Dermal Assessment, EPA 1998 (NCEA-W-0364)
AFa	Adherence factor, adult (mg/cm ²)	0.08	Dermal Assessment, EPA 1998 (NCEA-W-0364)
AFc	Adherence factor, child (mg/cm ²)	0.3	Dermal Assessment, EPA 1998 (NCEA-W-0364)
		0.0	
ABS	Skin absorption (unitless): organics Inorganics	0.1 0.01	Dermal Assessment, EPA 1998 (NCEA-W-0364) Dermal Assessment, EPA 1998 (NCEA-W-0364)
IRAa	Inhalation rate - adult (m³/day)	20	Exposure Factors, EPA 1991 (OSWER No. 9285.6-03)
IRAc	Inhalation rate - child (m³/day)	10	Exposure Factors, EPA 1997 (EPA/600/P-95/002Fa)
IRWa	Drinking water ingestion - adult (L/day	2	RAGS(Part A), EPA 1989 (EPA/540/1-89/002)
IRWc	Drinking water ingestion - child (L/day)	1	PEA, Cal-EPA (DTSC, 1994)
IRSa	Soil ingestion - adult (mg/day)	100	Exposure Factors, EPA 1991 (OSWER No. 9285.6-03)
IRSc	Soil ingestion - child (mg/day),	200	Exposure Factors, EPA 1991 (OSWER No. 9285.6-03)
IRSo	Soil ingestion - occupational (mg/day)	50	Exposure Factors, EPA 1991 (OSWER No. 9285.6-03)
EFr	Exposure frequency - residential (d/y)	350	Exposure Factors, EPA 1991 (OSWER No. 9285.6-03)
EFo	Exposure frequency - occupational (d/y)	250	Exposure Factors, EPA 1991 (OSWER No. 9285.6-03)
EDr	Exposure duration - residential (years)	30*	Exposure Factors, EPA 1991 (OSWER No. 9285.6-03)
EDc	Exposure duration - child (years)	6	Exposure Factors, EPA 1991 (OSWER No. 9285.6-03)
EDo	Exposure duration - occupational (years)	25	Exposure Factors, EPA 1991 (OSWER No. 9285.6-03)
IFSadj SFSadj InhFadi	Age-adjusted factors for carcinogens: ingestion factor, soils ([mg-yr]/[kg-d]) Skin contact factor, soils ([mg-yr]/[kg-d]) Inhalation factor ([m³-yr]/[kg-d])	114 504 11	RAGS(Part B), EPA 1991 (OSWER No. 9285.7-01B) By analogy to RAGS (Part B) By analogy to RAGS (Part B)
lFWadj	Ingestion factor, water ([I-yr]/[kg-d])	1.1	By analogy to RAGS (Part B)
VFw	Votatilization factor for soil (m³/kg) Se	0.5	RAGS(Part B), EPA 1991 (OSWER No. 9285.7-01B)
PEF		e below	Soil Screening Guidance (EPA 1996a,b)
VFs		e below	Soil Screening Guidance (EPA 1996a,b)
sat	Soil saturation concentration (mg/kg) Se	e below	Soil Screening Guidance (EPA 1996a,b)

Footnote: *Exposure duration for lifetime residents is assumed to be 30 years total. For carcinogens, exposures are combined for children (6 years) and adults (24 years).

4.8 Standardized Equations

The equations used to calculate the PRGs for carcinogenic and noncarcinogenic contaminants are presented in Equations 4-1 through 4-8. The PRG equations update RAGS Part B equations. The methodology backcalculates a soil, air, or water concentration level from a target risk (for carcinogens) or hazard quotient (for noncarcinogens). For completeness, the soil equations combine risks from ingestion, skin contact, and inhalation simultaneously. Note: the electronic version of the table also includes pathway-specific PRGs, should the user decide against combining specific exposure pathways; or, the user wants to identify the relative contribution of each pathway to exposure.

To calculate PRGs for volatile chemicals in soil, a chemical-specific volatilization factor is calculated per Equation 4-9. Because of its reliance on Henry's law, the VF_s model is applicable only when the contaminant concentration in soil is at or below saturation (i.e. there is no free-phase contaminant present). Soil saturation ("sat") corresponds to the contaminant concentration in soil at which the adsorptive limits of the soil particles and the solubility limits of the available soil moisture have been reached. Above this point, pure liquid-phase contaminant is expected in the soil. If the PRG calculated using VF_s was greater than the calculated sat, the PRG was set equal to sat, in accordance with *Soil Screening Guidance* (USEPA 1996 a,b). The equation for deriving sat is presented in Equation 4-10.

PRG EQUATIONS

<u>Soil Equations</u>: For soils, equations were based on three exposure routes (ingestion, skin contact, and inhalation).

Equation 4-1: Combined Exposures to Carcinogenic Contaminants in Residential Soil

$$C(mg/kg) = \frac{TR \times AT_{c}}{EF_{r} \left[\left(\frac{IFS_{adj} \times CSF_{o}}{10^{6}mg/kg} \right) + \left(\frac{SFS_{adj} \times ABS \times CSF_{o}}{10^{6}mg/kg} \right) + \left(\frac{IAhF_{adj} \times CSF_{i}}{VF_{o}^{a}} \right) \right]$$

Equation 4-2: Combined Exposures to Noncarcinogenic Contaminants in Residential Soil

$$C(mg/kg) = \frac{THQ \times BW_c \times AT_n}{EF_r \times ED_c \left[\left(\frac{1}{RfD_o} \times \frac{IRS_c}{10^6 mg/kg}\right) + \left(\frac{1}{RfD_o} \times \frac{SA_c \times AF \times ABS}{10^6 mg/kg}\right) + \left(\frac{1}{RfD_i} \times \frac{IRA_c}{VF_s^a}\right) \right]$$

Equation 4-3: Combined Exposures to Carcinogenic Contaminants in Industrial Soil

$$C(mg/kg) = \frac{TR \times BW_a \times AT_c}{EF_o \times ED_o \left[\left(\frac{IRS_o \times CSF_o}{10^6 mg/kg} \right) + \left(\frac{SA_a \times AF \times ABS \times CSF_o}{10^6 mg/kg} \right) + \left(\frac{IRA_a \times CSF_i}{VF_s^a} \right) \right]$$

Footnote:

^sUse VF, for volatile chemicals (defined as having a Henry's Law Constant [atm-m³/mol] greater than 10⁻⁵ and a molecular weight less than 200 grams/mol) or PEF for non-volatile chemicals.

Equation 4-4: Combined Exposures to Noncarcinogenic Contaminants in Industrial Soil

$$C(mg/kg) = \frac{THQ \times BW_a \times AT_n}{EF_o \times ED_o[(\frac{1}{RfD_o} \times \frac{IRS_o}{10^6 mg/kg}) + (\frac{1}{RfD_o} \times \frac{SA_a \times AF \times ABS}{10^6 mg/kg}) + (\frac{1}{RfD_i} \times \frac{IRA_a}{VF_s^a})]$$

Tap Water Equations:

Equation 4-5: Ingestion and Inhalation Exposures to Carcinogenic Contaminants in Water

$$C(ug/L) = \frac{TR \times AT_c \times 1000 ug/mg}{EF_r [(IFW_{adj} \times CSF_o) + (VF_w \times InhF_{adj} \times CSF_i)]}$$

Equation 4-6: Ingestion and Inhalation Exposures to Noncarcinogenic Contaminants in Water

$$C(ug/L) = \frac{THQ \times BW_a \times AT_n \times 1000ug/mg}{EF_r \times ED_r \left[\left(\frac{IRW_a}{RfD_o} \right) + \left(\frac{VF_w \times IRA_a}{RfD_i} \right) \right]}$$

Air Equations:

Equation 4-7: Inhalation Exposures to Carcinogenic Contaminants in Air

$$C(ug/m^3) = \frac{TR \times AT_c \times 1000 ug/mg}{EF_r \times InhF_{adj} \times CSF_i}$$

Equation 4-8: Inhalation Exposures to Noncarcinogenic Contaminants in Air

$$C(ug/m^3) = \frac{THQ \times RfD_i \times BW_a \times AT_a \times 1000 ug/mg}{EF_r \times ED_r \times IRA_a}$$

Footnote:

^aUse VF, for volatile chemicals (defined as having a Henry's Law Constant [atm-m³/mol] greater than 10⁻⁵ and a molecular weight less than 200 grams/mol) or PEF for non-volatile chemicals.

SOIL-TO-AIR VOLATILIZATION FACTOR (VF,)

Equation 4-9: Derivation of the Volatilization Factor

$$VF_s(m^3/kg) = (Q/C) \times \frac{(3.14 \times D_A \times T)^{1/2}}{(2 \times \rho_b \times D_A)} \times 10^{-4} (m^2/cm^2)$$

where:

$$D_{A} = \frac{\left[\left(\Theta_{a}^{10/3} D_{i} H' + \Theta_{w}^{10/3} D_{w} \right) / n^{2} \right]}{\rho_{B} K_{d} + \Theta_{w} + \Theta_{a} H'}$$

<u>Parameter</u>	Definition (units)	Default
VF,	Volatilization factor (m ³ /kg)	-
D _A	Apparent diffusivity (cm ² /s)	
Q/C	Inverse of the mean conc. at the center of a 0.5-acre square source (g/m ² -s per kg/m ³)	68.81
Т	Exposure interval (s)	9.5 x 10 ⁸
ρ	Dry soil bulk density (g/cm ³)	1.5
Θ	Air filled soil porosity (L_{sir}/L_{soil})	0.28 or n-⊖ _w
n	Total soil porosity (L_{pore}/L_{soil})	0.43 or 1 - (ρ _b /ρ _s)
Θ_{w}	Water-filled soil porosity (L _{water} /L _{soil})	0.15
ρ,	Soil particle density (g/cm ³)	2.65
Di	Diffusivity in air (cm ² /s)	Chemical-specific
Н	Henry's Law constant (atm-m ³ /mol)	Chemical-specific
H	Dimensionless Henry's Law constant	Calculated from H by multiplying by 41 (USEPA 1991a)
D_w	Diffusivity in water (cm ² /s)	Chemical-specific
K _d	Soil-water partition coefficient $(cm^3/g) = K_{oc}f_{oc}$	Chemical-specific
K _{oc}	Soil organic carbon-water partition coefficient (cm ³ /g)	Chemical-specific
\mathbf{f}_{oc}	Fraction organic carbon in soil (g/g)	0.006 (0.6%)

SOIL SATURATION CONCENTRATION (sat)

Equation 4-10: Derivation of the Soil Saturation Limit

$$sat = \frac{S}{\rho_b} (K_d \rho_b + \Theta_w + H' \Theta_a)$$

Parameter	Definition (units)	<u>Default</u>
sat	Soil saturation concentration (mg/kg)	-
S	Solubility in water (mg/L-water)	Chemical-specific
$ ho_{b}$	Dry soil bulk density (kg/L)	1.5
n	Total soil porosity (L_{pore}/L_{soil})	0.43 or 1 - (ρ _b /ρ _s)
ρ _s	Soil particle density (kg/L)	2.65
K _d	Soil-water partition coefficient (L/kg)	$K_{\infty} \ge f_{\infty}$ (chemical-specific)
k _{oc}	Soil organic carbon/water partition coefficient (L/kg)	Chemical-specific
\mathbf{f}_{oc}	Fraction organic carbon content of soil (g/g)	0.006 or site-specific
Θ"	Water-filled soil porosity (L_{water}/L_{soil})	0.15
Θ	Air filled soil porosity (L_{air}/L_{soil})	0.28 or n- Θ_{w}
w	Average soil moisture content (kg _{water} /kg _{soil} or L _{water} /kg _{soil})	0.1
H	Henry's Law constant (atm-m ³ /mol)	Chemical-specific
H'	Dimensionless Henry's Law constant	H x 41, where 41 is a units conversion factor

SOIL-TO-AIR PARTICULATE EMISSION FACTOR (PEF)

Equation 4-11: Derivation of the Particulate Emission Factor

$$PEF(m^{3}/kg) = Q/C \times \frac{3600 s/h}{0.036 \times (1-V) \times (U_{m}/U_{t})^{3} \times F(x)}$$

Parameter 1	Definition (units)	<u>Default</u>
PEF	Particulate emission factor (m ³ /kg)	1.316 x 10°
Q/C	Inverse of the mean concentration at the center of a 0.5-acre-square source $(g/m^2-s \text{ per } kg/m^3)$	90.80
V	Fraction of vegetative cover (unitless)	0.5
U _m	Mean annual windspeed (m/s)	4.69
U _t	Equivalent threshold value of windspeed at 7 m (m/s)	11.32
F(x)	Function dependent on U_m/U_t derived using Cowherd (1985) (unitless)	0.194

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